## **Before Starting the Project Application**

# To ensure that the Project Application is completed accurately, ALL project applicants should review the following information BEFORE beginning the application.

Things to Remember:

- Additional training resources can be found on the HUD.gov at https://www.hud.gov/program\_offices/comm\_planning/coc.

- Questions regarding the FY 2021 CoC Program Competition process must be submitted to CoCNOFO@hud.gov.

- Questions related to e-snaps functionality (e.g., password lockout, access to user's application account, updating Applicant Profile)must be submitted to e-snaps@hud.gov.

- Project applicants are required to have a Data Universal Numbering System (DUNS) number and an active registration in the Central Contractor Registration (CCR)/System for Award Management (SAM) in order to apply for funding under the Fiscal Year (FY) 2021 Continuum of Care (CoC) Program Competition. For more information see FY 2021 CoC Program Competition NOFO.

- To ensure that applications are considered for funding, applicants should read all sections of the FY 2021 CoC Program NOFO.

- Detailed instructions can be found on the left menu within e-snaps. They contain more comprehensive instructions and so should be used in tandem with navigational guides, which are also found on the HUD Exchange.

- Before starting the project application, all project applicants must complete or update (as applicable) the Project Applicant Profile in e-snaps, particularly the Authorized Representative and Alternate Representative forms as HUD uses this information to contact you if additional information is required (e.g., allowable technical deficiency).

- Carefully review each question in the Project Application. Questions from previous competitions may have been changed or removed, or new questions may have been added, and information previously submitted may or may not be relevant. Data from the FY 2019 Project Application will be imported into the FY 2021 Project Application; however, applicants will be required to review all fields for accuracy and to update information that may have been adjusted through the post award process or a grant agreement amendment. Data entered in the post award and amendment forms in e-snaps will not be imported into the project application.

- Rental assistance projects can only request the number of units and unit size as approved in the final HUD-approved Grant Inventory Worksheet (GIW).

- Transitional housing, permanent supportive housing with leasing, rapid re-housing, supportive services only, renewing safe havens, and HMIS can only request the Annual Renewal Amount (ARA) that appears on the CoC's HUD-approved GIW. If the ARA is reduced through the CoC's reallocation process, the final project funding request must reflect the reduced amount listed on the CoC's reallocation forms.

- HUD reserves the right to reduce or reject any renewal project that fails to adhere to 24 CFR part 578 and the application requirements set forth in the FY 2021 CoC Program Competition NOFA.

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# 1A. SF-424 Application Type

If "Revision", select appropriate letter(s):	Application Renewal Project Application
If "Other", specify: 3. Date Received: 4. Applicant Identifier:	10/11/2021
5a. Federal Entity Identifier: 5b. Federal Award Identifier:	MQ0038
This is the first 6 digits of the Grant Number, known as the PIN, that will also be indicated on Screen 3A Project Detail. This number must match the first 6 digits of the grant number on the HUD approved Grant Inventory Worksheet (GIW).	
Check to confrim that the Federal Award Identifier has been updated to reflect the most recently awarded grant number	X
6. Date Received by State:	
7. State Application Identifier:	

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# 1B. SF-424 Legal Applicant

8. Applicant	
a. Legal Name:	Economic Security Corporation of Southwest Area
b. Employer/Taxpayer Identification Number (EIN/TIN):	43-0834199

c. Organizational DUNS:		076260926	PLUS 4	
d. Address				
	202 1			
	302 JC	oplin Avenue		
Street 2:	1			
	Joplin			
County:	Jaspe			
	Misso	-		
Country:	United	l States		
Zip / Postal Code:	64801	-2354		
e. Organizational Unit (optional)				
Department Name:	Comm	unity Developmen	ıt	
Division Name:	Comm	nunity Developmen	ıt	
f. Name and contact information of person to				
be contacted on matters involving this				
application				
Prefix:	Ms.			
First Name:	e: Tammy			
Middle Name:				
Last Name:	: Walker			
Suffix:				
Title:	: Community Development Director			
Organizational Affiliation:	Econo Area	mic Security Corpo	oration of Southw	est
Renewal Project Application FY2021		Page 3	11/12/2021	
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Telephone Number:	(417) 627-2016
Extension:	
Fax Number:	(417) 781-1234
Email:	twalker@escswa.org

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# **1C. SF-424 Application Details**

9. Type of Applicant:	M. Nonprofit with 501C3 IRS Status
10. Name of Federal Agency:	Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Title:	CoC Program
CFDA Number:	14.267
12. Funding Opportunity Number:	FR-6500-N25
Title:	Continuum of Care Homeless Assistance Competition
13. Competition Identification Number:	

Title:

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# 1D. SF-424 Congressional District(s)

14. Area(s) affected by the project (State(s) Missouri only): (for multiple selections hold CTRL key) 15. Descriptive Title of Applicant's Project: Shelter Plus Care 2021 16. Congressional District(s): a. Applicant: MO-007 (for multiple selections hold CTRL key) b. Project: MO-007 (for multiple selections hold CTRL key) **17. Proposed Project** a. Start Date: 06/01/2022 **b. End Date:** 05/31/2023 18. Estimated Funding (\$) a. Federal: **b.** Applicant: c. State: d. Local: e. Other: f. Program Income: g. Total:

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## 1E. SF-424 Compliance

19. Is the Application Subject to Review By State Executive Order 12372 Process?b. Program is subject to E.O. 12372 but has not been selected by the State for review.

- If "YES", enter the date this application was made available to the State for review:
- 20. Is the Applicant delinquent on any Federal No debt?

If "YES," provide an explanation:

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## 1F. SF-424 Declaration

By signing and submitting this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

I AGREE: X

21. Authorized Representative

•	
Prefix:	Mr.
First Name:	John
Middle Name:	
Last Name:	Joines
Suffix:	
Title:	Executive Director
Telephone Number: (Format: 123-456-7890)	(417) 627-2014
Fax Number: (Format: 123-456-7890)	(417) 781-1234
Email:	jjoines@escswa.org
Signature of Authorized Representative:	Considered signed upon submission in e-snaps.
Date Signed:	10/11/2021

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## 1G. HUD 2880

#### Applicant/Recipient Disclosure/Update Report - form HUD-2880 U.S. Department of Housing and Urban Development OMB Approval No. 2506-0214 (exp.02/28/2022)

#### **Applicant/Recipient Information**

#### 1. Applicant/Recipient Name, Address, and Phone

Agency Legal Name:	Economic Security Corporation of Southwest Area
Prefix:	Mr.
First Name:	John
Middle Name:	
Last Name:	Joines
Suffix:	
Title:	Executive Director
Organizational Affiliation:	Economic Security Corporation of Southwest Area
Telephone Number:	(417) 627-2014
Extension:	
Email:	jjoines@escswa.org
City:	Joplin
County:	Jasper
State:	Missouri
Country:	United States
Zip/Postal Code:	64801-2354
Employer ID Number (EIN):	43-0834199
3. HUD Program:	Continuum of Care Program

#### 4. Amount of HUD Assistance Requested/Received

2.

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#### 4a. Total Amount Requested for this project: \$78,684

# 5. State the name and location (street Shelter Plus Care 2021 302 Joplin Avenue Joplin address, city and state) of the project or activity:

Refer to project name, addresses and CoC Project Identifying Number (PIN) entered into the attached project application.

#### **Part I Threshold Determinations**

1. Are you applying for assistance for a Yes specific project or activity? (For further information, see 24 CFR Sec. 4.3).

2. Have you received or do you expect to Yes receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9.

#### Part II Other Government Assistance Provided or Requested/Expected Sources and Use of Funds

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/Local Agency Name and Address	Type of Assistance	Amount Requested / Provided	Expected Uses of the Funds
NA	NA	\$0.00	NA

#### **Part III Interested Parties**

You must disclose:

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1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and

2. any other person who has a financial interest in the project or activity for which the

assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation	Financial Interest in Project/Activity (\$)	Financial Interest in Project/Activity (%)
NA	NA	NA	\$0.00	0%

#### Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional nondisclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.



Name / Title of Authorized Official: John Joines, Executive Director

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 10/11/2021

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## 1H. HUD 50070

#### HUD 50070 Certification for a Drug Free Workplace

Applicant Name: Economic Security Corporation of Southwest Area

Program/Activity Receiving Federal Grant CoC Program Funding:

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

	I certify that the above named Applicant will or will continue to provide a drug-free workplace by:		
a.	Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.	e.	Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
b.	Establishing an on-going drug-free awareness program to inform employees (1) The dangers of drug abuse in the workplace (2) The Applicant's policy of maintaining a drug-free workplace; (3) Any available drug counseling, rehabilitation, and employee assistance programs; and (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.	f.	Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
c.	Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;	g.	Making a good faith effort to continue to maintain a drugfree workplace through implementation of paragraphs a. thru f.
d.	Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will (1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;		

#### Sites for Work Performance.

The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.) Workplaces, including addresses, entered in the attached project application. Refer to addresses entered into the attached project application.

I certify that the information provided on this form and in any accompanying		
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documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802)

#### **Authorized Representative**

Prefix:	Mr.
First Name:	John
Middle Name	
Last Name:	Joines
Suffix:	
Title:	Executive Director
Telephone Number: (Format: 123-456-7890)	(417) 627-2014
Fax Number: (Format: 123-456-7890)	(417) 781-1234
Email:	jjoines@escswa.org
Signature of Authorized Representative:	Considered signed upon submission in e-snaps.
Date Signed:	10/11/2021

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## **CERTIFICATION REGARDING LOBBYING**

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file

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# the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Applicant's Organization:	Economic Security Corporation of Southwest Area
Name / Title of Authorized Official:	John Joines, Executive Director
Signature of Authorized Official:	Considered signed upon submission in e-snaps.

Date Signed: 10/11/2021

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# 1J. SF-LLL

#### DISCLOSURE OF LOBBYING ACTIVITIES Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352. Approved by OMB0348-0046

HUD requires a new SF-LLL submitted with each annual CoC competition and completing this screen fulfills this requirement.

Answer "Yes" if your organization is engaged in lobbying associated with the CoC Program and answer the questions as they appear next on this screen. The requirement related to lobbying as explained in the SF-LLL instructions states: "The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action."

Answer "No" if your organization is NOT engaged in lobbying.

Does the recipient or subrecipient of this CoC grant participate in federal lobbying activities (lobbying a federal administration or congress) in connection with the CoC Program?	No
Legal Name:	Economic Security Corporation of Southwest Area
Street 1:	302 Joplin Avenue
Street 2:	
City:	Joplin
County:	Jasper
State:	Missouri
Country:	United States
Zip / Postal Code:	64801-2354

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I certify that this information is true and	X	
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complete.	
Authorized Representative	
Prefix:	Mr.
First Name:	John
Middle Name:	
Last Name:	Joines
Suffix:	
Title:	Executive Director
Telephone Number: (Format: 123-456-7890)	(417) 627-2014
Fax Number: (Format: 123-456-7890)	(417) 781-1234
Email:	jjoines@escswa.org
Signature of Authorized Official:	Considered signed upon submission in e-snaps.
Date Signed:	10/11/2021

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## IK. SF-424B

#### (SF-424B) ASSURANCES - NON-CONSTRUCTION PROGRAMS

#### OMB Number: 4040-0007 Expiration Date: 02/28/2022

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1.	Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2.	Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3.	Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4.	Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5.	Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6.	Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C.§§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination
	on the basis of alcohol abuse or alcoholism, (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (4 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

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8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327¬333), regarding labor standards for federally-assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

As the duly authorized representative of the	
applicant, I certify:	

Authorized Representative for: Economic Security Corporation of Southwest Area

Prefix: Mr.

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First Name:	John
Middle Name:	
Last Name:	Joines
Suffix:	
Title:	Executive Director
Signature of Authorized Certifying Official:	Considered signed upon submission in e-snaps.
Date Signed:	10/11/2021

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## Information About Submission without Changes

Follow the instructions below making note of the exceptions and limitations to the "Submit Without Changes" process.

In general, HUD expects a project's proposed project application information will remain the same from year-to-year unless changes are directed by HUD or approved through the grant agreement amendment process. However, HUD expects applicants to carefully review their information to determine if submitting without changes accurately reflects the expiring grant requesting renewal.

Due to e-snaps limitations, only previously submitted renewal applications can import data into the FY 2021 renewal project application. The data from previously submitted new and renewal project applications can be imported into a FY 2021 renewal project application. The "Submit without Changes" process is not applicable for:

- first time renewing project applications

- a project application that did not import last FY 2019 information

- a project that had Issues or Conditions that were addressed in FY 2019 Post-Award and updates need to be reflected in the FY 2021 project application

- a project that had amendments approved in FY 2019 or FY 2020 that need to be reflected in the FY 2021 project application

e-snaps will automatically be set to "Make Changes" and all questions on each screen must be updated.

Renewal projects that brought forward data from FY 2019 and have either a Leasing budget, Operating budget or use HUD PAID RENTS (Actual Rents) instead of FMR MAY NOT use the "Submit Without Changes" process and e-snaps will automatically be set to "Make Changes". All Leasing and Operating budgets, along with Rental Assistance budgets that use HUD PAID Rent will need to be updated in the application. Refer to the GIW posted on the HUD Exchange for accurate budget information on leasing and operating budgets and refer to the HUD PAID RENT document sent by your field office from HUD HQ to accurately set your rental assistance budgets that use HUD PAID rents. This will only impact the FY 2021 competition.

The e-snaps screens that remain "open" for required annual updates and do not affect applicants' ability to select "Submit without Changes" are:

- Recipient Performance Screen
- Consolidation and Expansion
- Screen 3A. Project Detail
- Screen 6D. Sources of Match
- All of Part 7: Attachments and Certification; and
- All of Part 8: Submission Summary.

All other screens in Part 2 through Part 6 begin in "Read-Only" format and should be reviewed for accuracy; including any updates that were made to the 2019 or 2020 project during the CoC Post Award Issues and Conditions process or as amended. If all the imported data is accurate and no edits or updates are needed to any screens other than the mandatory screens and questions noted above, project applicants should select "Submit Without Changes" in Part 8. If project applicants imported data and do need to make updates to the information on one or more screens, they must navigate to Part 8: "Submission Without Changes" Screen, select "Make Changes", and check the box next to each relevant screen title to unlock screens for editing. After project applicants select the screens they intend to edit via checkboxes, click ""Save"" and those screens will be available for edit. Once a project applicant selects a checkbox and clicks ""Save"", the project applicant cannot uncheck the box.

Please refer to the Detailed Instructions found on the left side menu of e-snaps or hud.gov to find more in depth information about applying under the FY 2021 CoC Competition.

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## **Submission Without Changes**

# **1. Are the requested renewal funds reduced** No from the previous award due to reallocation?

2. Do you wish to submit this application Make changes without making changes? Please refer to the guidelines below to inform you of the requirements.

# 3. Specify which screens require changes by clicking the checkbox next to the name and then clicking the Save button.

Part 2 - Subrecipient Information			
2A. Subrecipients			
Part 3 - Project Information			
3A. Project Detail			X
3B. Description			
3C. Dedicated Plus			
Part 4 - Housing Services and HMIS			
4A. Services			
4B. Housing Type			
Part 5 - Participants and Outreach Information			
5A. Households			
5B. Subpopulations			
Part 6 - Budget Information			
6A. Funding Request			
6C. Rental Assistance			x
6D. Match			x
6E. Summary Budget			
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Part 7 - Attachment(s) & Certification	
7A. Attachment(s)	X
7A. In-Kind Match MOU Attachment	
7B. Certification	x

You have selected "Make Changes" to question #2 above. Provide a brief description of the changes that will be made to the project information screens (bullets are appropriate):

**Total Units Requested** 

You have selected "Make Changes." Once this screen is saved, you will be prohibited from "unchecking" any box that has been checked regardless of whether a change to data on the corresponding screen will be made.

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## **Recipient Performance**

- **1. Did you submit your previous year's** Yes Annual Performance Report (APR) on time?
- 2. Do you have any unresolved HUD No Monitoring or OIG Audit finding(s) concerning any previous grant term related to this renewal project request?
  - 3. Do you draw funds quarterly for your Yes current renewal project?
  - 4. Have any funds remained available for Yes recapture by HUD for the most recently expired grant term related to this renewal project request?

#### 4a. If HUD recaptured funds provide an explanation.

There was not enough money left to add another family to the program.

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### Renewal Grant Consolidation or Renewal Grant Expansion

The FY2021 CoC Competition will continue offering opportunities to expand or consolidate CoC projects. A few changes have occurred that differentiate the process from FY 2019.

1. Expansions and Consolidations will submit individual applications.

a. Expansions will ONLY submit a Stand-Alone Renewal application and a Stand-Alone New application.

b. Consolidations will ONLY submit individual renewal project applications, identifying the renewal application that will survive, and the renewal applications that will terminate. Up to 10 grants may be included in a consolidation.

2. HUD HQ will combine the data (e.g., units, budgets) for Expansion or Consolidation requests from the individual project applications selected for conditional award and provide a data report with further instructions for the field office and conditional recipient.

# **1. Is this renewal project application** No requesting to consolidate or expand?

If "No" click on "Next" or "Save & Next" below to move to the next screen.

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# 2A. Project Subrecipients

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

This form lists the subrecipient organization(s) for the project. To add a subrecipient, select the icon. To view or update subrecipient information already listed, select the view option.

#### Total Expected Sub-Awards: \$0

Organization	Туре	Sub- Award Amount
	This list contains no items	

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## 3A. Project Detail

# 1. Expiring Grant Project Identification Number (PIN): MO0038 (e.g., the "Federal Award Identifier" indicated on form 1A. Application Type) MO-602 - Joplin/Jasper, Newton Counties CoC 2. CoC Number and Name: MO-602 - Joplin/Jasper, Newton Counties CoC 3. CoC Collaborative Applicant Name: Economic Security Corporation of Southwest Area 4. Project Name: Shelter Plus Care 2021 5. Project Status: Standard 6. Component Type: PH 6a. Select the type of PH project. PSH 7. Is your organization, or subrecipient, a victim service provider defined in 24 CFR 578.37 No

8. Does this project include Replacement No Reserves as a CoC Operating Cost?

(Attachment Requirement)

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# **3B. Project Description**

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

# 1. Provide a description that addresses the entire scope of the proposed project.

Economic Security Corporation (ESC) provides permanent supportive housing for homeless individuals and families through its Shelter Plus Care program. The program is designed to help participants obtain safe, affordable housing through rental assistance and other supportive services as they implement a care coordination plan with a qualified case manager. This satisfies their immediate need for housing and gives them the residential stability to begin addressing the underlying problems causing their homeless situation. Participants come to our program from the streets or emergency shelters through the coordinated entry process utilized by our CoC. We also enjoy a good relationship with local service providers and remind them regularly of our program.

Being an active member of The Homeless Coalition (THC) further expands our reach. THC is a group of organizations with an interest in working with the homeless population. Among the organizations represented are shelters and service providers as well schools, healthcare, law enforcement, and funders. To be eligible, participants must meet HUD's definition of being homeless, income guidelines, and provide documentation of disability – either mental or physical.

After being accepted into the program, participants have the opportunity to choose the type and location of housing which best suits their needs. They are not required to select a specific unit or location. We do assist them in the selection process in several ways. Giving participants information about neighborhoods they may be able to find a unit in an area that is considered safe and drug free – an important point for those who may be recovering from substance abuse. They will also be asked to consider things such as transportation, mental health services, substance abuse treatment services, employment, shopping, recreation, church and other supports they may need when choosing a unit. If a participant requires an accessible unit, they will be assisted in identifying units that would best meet that need as well. Any unit selected must also meet Housing Quality Standards before a participant is allowed to receive rental assistance.

Participants are required to pay 30% of their adjusted gross income toward the rent while RAP pays the remainder up to the allowed amount. The participant's portion of the rent will increase as their adjusted gross income increases Reaching a level where the participant portion pays the entire amount of the rent and no longer requires rental assistance will cause an end of participation.

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# 2. Check the appropriate box(s) if this project will have a specific subpopulation focus. (Select all that apply)

	B (1.)(1.)	
N/A - Project Serves All Subpopulations	Domestic Violence	
Veterans	Substance Abuse	
Youth (under 25)	Mental Illness	
Families with Children	HIV/AIDS	
	Chronic Homeless	
	Other(Click 'Save' to update)	

#### 3. Housing First

# **3a. Does the project quickly move** Yes participants into permanent housing

# 3b. Does the project enroll program participants who have the following barriers? Select all that apply.

Having too little or little income	x
Active or history of substance use	X
Having a criminal record with exceptions for state-mandated restrictions	x
History of victimization (e.g. domestic violence, sexual assault, childhood abuse)	X
None of the above	

# 3c. Will the project prevent program participant termination for the following reasons? Select all that apply.

Failure to participate in supportive services	x
Failure to make progress on a service plan	x
Loss of income or failure to improve income	x
Any other activity not covered in a lease agreement typically found for unassisted persons in the project's geographic area	x
None of the above	

# 3d. Does the project follow a "Housing First" Yes approach?

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## **3C. Dedicated Plus**

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

Dedicated and DedicatedPLUS

A "100% Dedicated" project is a permanent supportive housing project that commits 100% of its beds to chronically homeless individuals and families, according to NOFA Section III.3.b.

A "DedicatedPLUS" project is a permanent supportive housing project where 100% of the beds are dedicated to serve individuals with disabilities and families in which one adult or child has a disability, including unaccompanied homeless youth, that at a minimum, meet ONE of the following criteria according to NOFA Section III.3.d:

(1) experiencing chronic homelessness as defined in 24 CFR 578.3;

(2) residing in a transitional housing project that will be eliminated and meets the definition of chronically homeless in effect at the time in which the individual or family entered the transitional housing project;

(3) residing in a place not meant for human habitation, emergency shelter, or safe haven; but the individuals or families experiencing chronic homelessness as defined at 24 CFR 578.3 had been admitted and enrolled in a permanent housing project within the last year and were unable to maintain a housing placement;

(4) residing in transitional housing funded by a joint TH and PH-RRH component project and who were experiencing chronic homelessness as defined at 24 CFR 578.3 prior to entering the project;

(5) residing and has resided in a place not meant for human habitation, a safe haven, or emergency shelter for at least 12 months in the last three years, but has not done so on four separate occasions; or

(6) receiving assistance through a Department of Veterans Affairs(VA)-funded homeless assistance program and met one of the above criteria at initial intake to the VA's homeless assistance system.

A renewal project where 100 percent of the beds are dedicated in their current grant as described in NOFA Section III.A.3.b. must either become DedicatedPLUS or remain 100% Dedicated. If a renewal project currently has 100 percent of its beds dedicated to chronically homeless individuals and families and elects to become a DedicatedPLUS project, the project will be required to adhere to all fair housing requirements at 24 CFR 578.93. Any beds that the applicant identifies in this application as being dedicated to chronically homeless individuals and families and elects to operate in accordance with Section III.A.3.b. Beds are identified on Screen 4B.

#### 1. Is this project "100% Dedicated," DedicatedPLUS

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"DedicatedPLUS," or "N/A"? (Only select "N/A" if this project was originally awarded as a grant that did not have requirements to only serve persons experiencing chronic homelessness and meets the definition of "non-dedicated permanent supportive housing beds" in the NOFO Section III.C.2.p).

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## **4A. Supportive Services for Program Participants**

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

#### 1. For all supportive services available to program participants, indicate who will provide them and how often they will be provided. Click 'Save' to update.

Supportive Services	Provider	Frequency	
Assessment of Service Needs	Applicant	Daily	
Assistance with Moving Costs			
Case Management	Non-Partner	Daily	
Child Care	Applicant	Daily	
Education Services	Non-Partner	Daily	
Employment Assistance and Job Training	Non-Partner	Weekly	
Food	Non-Partner	Daily	
Housing Search and Counseling Services	Applicant	Weekly	
Legal Services	Non-Partner	Daily	
Life Skills Training			
Mental Health Services	Non-Partner	Weekly	
Outpatient Health Services	Non-Partner	Weekly	
Outreach Services	Applicant	Daily	
Substance Abuse Treatment Services	Non-Partner	Daily	
Transportation	Non-Partner	Daily	
Utility Deposits			

Identify whether the project includes the following activities:

2. Transportation assistance to program Yes participants to attend mainstream benefit appointments, employee training, or jobs?

3. Annual follow-up with program participants Yes to ensure mainstream benefits are received and renewed?

# 4. Do program participants have access to Yes SSI/SSDI technical assistance provided by

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#### this project, subrecipient, or partner agency?

4a. Has the staff person providing the No technical assistance completed SOAR training in the past 24 months?

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# 4B. Housing Type and Location

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

The following list summarizes each housing site in the project. To add a housing site to the list, select the icon. To view or update a housing site already listed, select the icon.

#### Total Units: 8

Total Beds: 15

Total Dedicated CH Beds: 0

Housing Type	Housing Type (JOINT)	Units	Beds
Single family homes/townhou		8	15

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## **4B.** Housing Type and Location Detail

**1. Housing Type:** Single family homes/townhouses/duplexes

# 2. Indicate the maximum number of units and beds available for program participants at the selected housing site.

a. Units: 8

b. Beds: 15

#### 3. How many beds of the total beds in "2b. 0 Beds" are dedicated to the chronically homeless?

# This includes both the "dedicated" and "prioritized" beds from previous competitions.

#### 4. Address:

Project applicants must enter an address for all proposed and existing properties. If the location is not yet known, enter the expected location of the housing units. For Scattered-site and Single-family home housing, or for projects that have units at multiple locations, project applicants should enter the address where the majority of beds will be located or where the majority of beds are located as of the application submission. Where the project uses tenant-based rental assistance in the RRH portion, or if the address for scattered-site or single-family homes housing cannot be identified at the time of application, enter the address for the project's administration office. Projects serving victims of domestic violence, including human trafficking, must use a PO Box or other anonymous address to ensure the safety of participants.

Street 1:302 S. JoplinStreet 2:City:City:JoplinState:MissouriZIP Code:64801

#### 5. Select the geographic area(s) associated with the address: (for multiple selections hold CTRL Key)

299097 Jasper County, 299145 Newton County, 292652 Joplin

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## **5A. Program Participants - Households**

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

Households	Households with at Least One Adult and One Child	Adult Households without Children	Households with Only Children	Total
Total Number of Households	3	7		10
Characteristics	Persons in Households with at Least One Adult and One Child	Adult Persons in Households without Children	Persons in Households with Only Children	Total
Persons over age 24	3	7		10
Persons ages 18-24	0	0		0
Accompanied Children under age 18	3		0	3
Unaccompanied Children under age 18			0	0
Total Persons	6	7	0	13

Click Save to automatically calculate totals

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### **5B. Program Participants - Subpopulations**

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

#### Persons in Households with at Least One Adult and One Child

Characteristics	CH (Not Veterans )	СН	Veterans (Not CH)		HIV/AID S	Severely Mentally III	DV	Physical Disability	Developmenta I Disability	Persons Not Represented by a Listed Subpopulatio n
Persons over age 24		0	0	0	0	3	0		0	0
Persons ages 18-24		0							0	0
Children under age 18	0				0	0	0	0	0	3
Total Persons	0	0	0	0	0	3	0	0	0	3

**Click Save to automatically calculate totals** 

### Persons in Households without Children

Characteristics	CH (Not Veterans )	CH Veterans	Veterans (Not CH)		HIV/AID S	Severely Mentally III	DV	Physical Disability	Developmenta I Disability	Persons Not Represented by a Listed Subpopulatio n
Persons over age 24	0		0	0	0	7	0	0	0	0
Persons ages 18-24		0	0	0	0	0	0	0	0	0
Total Persons	0	0	0	0	0	7	0	0	0	0

Click Save to automatically calculate totals

Characteristics	CH (Not Veterans )	CH Veterans	Veterans (Not CH)	HIV/AID S	Severely Mentally III	DV	Physical Disability	Developmenta I Disability	Persons Not Represented by a Listed Subpopulatio n
Accompanied Children under age 18									
Unaccompanied Children under age 18									

#### Persons in Households with Only Children

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Total Persons	0			0	0	0	0	0	0	0
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### Describe the unlisted subpopulations referred to above:

Children living in a household with a disabled adult.

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3.

## 6A. Funding Request

previous grant. To make changes Submission without Changes screen to Question 2, and then check the b	y and only includes data from the to this information, navigate to the , select "Make Changes" in response ox next each screen that requires a greement, as amended, or to account tion of funds.
1. Do any of the properties in this project have an active restrictive covenant?	No
2. Was the original project awarded as either a Samaritan Bonus or Permanent Housing Bonus project?	Yes
3. Does this project propose to allocate funds according to an indirect cost rate?	No
4. Renewal Grant Term: This field is pre- populated with a one-year grant term and cannot be edited:	1 Year
5. Select the costs for which funding is requested:	
Leased Units	
Leased Structures	
Rental Assistance	X

**Rental Assistance** 

**Supportive Services** 

Operating

HMIS

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### **6C. Rental Assistance Budget**

# The following list summarizes the rental assistance funding request for the total term of the project. To add information to the list, select the icon. To view or update information already listed, select the icon.

	Total Request for Grant Term:			\$74,736
	Total Units:			8
Type of Rental Assistance	FMR Area		Total Units Requested	Total Request
TRA	MO - Joplin, MO MSA (2909799999	))	8	\$74,736

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### **Rental Assistance Budget Detail**

### Type of Rental Assistance: TRA

#### Metropolitan or non-metropolitan fair market rent area:

MO - Joplin, MO MSA (2909799999)

# Does the applicant request rental assistance No funding for less than the area's per unit size fair market rents?

Size of Units	# of Units (Applicant)		FMR Area (Applicant)	HUD Paid Rent (Applicant)		12 Months		Total Request (Applicant)
SRO		x	\$425	\$425	x	12	=	\$0
0 Bedroom		x	\$566	\$566	x	12	-	\$0
1 Bedroom	3	x	\$611	\$611	x	12	-	\$21,996
2 Bedrooms	3	x	\$783	\$783	x	12	-	\$28,188
3 Bedrooms	2	x	\$1,023	\$1,023	x	12	-	\$24,552
4 Bedrooms		x	\$1,088	\$1,088	x	12	-	\$0
5 Bedrooms		x	\$1,251	\$1,251	x	12	-	\$0
6 Bedrooms		x	\$1,414	\$1,414	x	12	-	\$0
7 Bedrooms		x	\$1,578	\$1,578	x	12	-	\$0
8 Bedrooms		x	\$1,741	\$1,741	x	12	-	\$0
9 Bedrooms		x	\$1,904	\$1,904	x	12	-	\$0
Total Units and Annual Assistance Requested	8					·		\$74,736
Grant Term		-						1 Year
Total Request for Grant Term								\$74,736

### Click the 'Save' button to automatically calculate totals.

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### 6D. Sources of Match

# The following list summarizes the funds that will be used as Match for this project. To add a Match source to the list, select the icon. To view or update a Match source already listed, select the icon.

#### **Summary for Match**

Total Value of Cash Commitments:	\$3,100
Total Value of In-Kind Commitments:	\$102,528
Total Value of All Commitments:	\$105,628

# 1. Will this project generate program income No described in 24 CFR 578.97 to use as Match for this project?

#### Before grant execution, services to be provided by a third party must be documented by a memorandum of understanding (MOU) between the recipient or subrecipient and the third party that will provide the services.

Туре	Source	Contributor	Value of Commitments
Cash	Private	Economic Security	\$3,100
In-Kind	Private	Ozark Center	\$102,528

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### **Sources of Match Detail**

1. Type of Match Commitment:	Cash
2. Source:	Private
3. Name of Source: (Be as specific as possible and include the office or grant program as applicable)	Economic Security
4. Amount of Written Committment:	\$3,100

### **Sources of Match Detail**

1. Type of Match Commitment:	In-Kind
2. Source:	Private
3. Name of Source: (Be as specific as possible and include the office or grant program as applicable)	Ozark Center
4. Amount of Written Committment:	\$102,528
Before grant execution, services to	be provided by a third part

Before grant execution, services to be provided by a third party must be documented by a memorandum of understanding (MOU) between the recipient or subrecipient and the third party that will provide the services.

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## 6E. Summary Budget

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

The following information summarizes the funding request for the total term of the project. Budget amounts from the Leased Units, Rental Assistance, and Match screens have been automatically imported and cannot be edited. However, applicants must confirm and correct, if necessary, the total budget amounts for Leased Structures, Supportive Services, Operating, HMIS, and Admin. Budget amounts must reflect the most accurate project information according to the most recent project grant agreement or project grant agreement amendment, the CoC's final HUD-approved FY 2018 GIW or the project budget as reduced due to CoC reallocation. Please note that, new for FY 2018, there are no detailed budget screens for Leased Structures, Supportive Services, Operating, or HMIS costs. HUD expects the original details of past approved budgets for these costs to be the basis for future expenses. However, any reasonable and eligible costs within each CoC cost category can be expended and will be verified during a HUD monitoring.

Eligible Costs	Total Assistance Requested for 1 year Grant Term (Applicant)
1a. Leased Units	\$0
1b. Leased Structures	\$0
2. Rental Assistance	\$74,736
3. Supportive Services	\$0
4. Operating	\$0
5. HMIS	\$0
6. Sub-total Costs Requested	\$74,736
7. Admin (Up to 10%)	\$3,948
8. Total Assistance plus Admin Requested	\$78,684
9. Cash Match	\$3,100
10. In-Kind Match	\$102,528
11. Total Match	\$105,628
12. Total Budget	\$184,312

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# 7A. Attachment(s)

Document Type	Required?	Document Description	Date Attached
1) Subrecipient Nonprofit Documentation	No		
2) Other Attachment	No	Ozark Center 2019	08/23/2019
3) Other Attachment	No	ESC 2019	08/23/2019

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### **Attachment Details**

**Document Description:** 

### **Attachment Details**

**Document Description:** Ozark Center 2019

### **Attachment Details**

**Document Description:** ESC 2019

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### 7A. In-Kind Match MOU Attachment

This screen is currently read only and only includes data from the previous grant. To make changes to this information, navigate to the Submission without Changes screen, select "Make Changes" in response to Question 2, and then check the box next each screen that requires a change to match the current grant agreement, as amended, or to account for a reallocation of funds.

Document Type	Required?	Document Description	Date Attached
In-Kind Match MOU	No		

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### **Attachment Details**

**Document Description:** 

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## 7B. Certification

### A. For all projects: Fair Housing and Equal Opportunity

It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and regulations pursuant thereto (Title 24 CFR part I), which state that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance, and will immediately take any measures necessary to effectuate this agreement. With reference to the real property and structure(s) thereon which are provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer, transferee, for the period during which the real property and structure(s) are used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

It will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and with implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion, sex, disability, familial status or national origin.

It will comply with Executive Order 11063 on Equal Opportunity in Housing and with implementing regulations at 24 CFR Part 107 which prohibit discrimination because of race, color, creed, sex or national origin in housing and related facilities provided with Federal financial assistance.

It will comply with Executive Order 11246 and all regulations pursuant thereto (41 CFR Chapter 60-1), which state that no person shall be discriminated against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of Federal contracts and shall take affirmative action to ensure equal employment opportunity. The applicant will incorporate, or cause to be incorporated, into any contract for construction work as defined in Section 130.5 of HUD regulations the equal opportunity clause required by Section 130.15(b) of the HUD regulations.

It will comply with Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701(u)), and regulations pursuant thereto (24 CFR Part 135), which require that to the greatest extent feasible opportunities for training and employment be given to lower-income residents of the project and contracts for work in connection with the project be awarded in substantial part to persons residing in the area of the project.

It will comply with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and with implementing regulations at 24 CFR Part 8, which prohibit discrimination based on disability in Federally-assisted and conducted programs and activities.

It will comply with the Age Discrimination Act of 1975 (42 U.S.C. 6101-07), as amended, and implementing regulations at 24 CFR Part 146, which prohibit discrimination because of age in projects and activities receiving Federal financial assistance.

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It will comply with Executive Orders 11625, 12432, and 12138, which state that program participants shall take affirmative action to encourage participation by businesses owned and operated by members of minority groups and women.

If persons of any particular race, color, religion, sex, age, national origin, familial status, or disability who may qualify for assistance are unlikely to be reached, it will establish additional procedures to ensure that interested persons can obtain information concerning the assistance. It will comply with the reasonable modification and accommodation requirements and, as appropriate, the accessibility requirements of the Fair Housing Act and section 504 of the Rehabilitation Act of 1973, as amended.

#### Additional for Rental Assistance Projects:

If applicant has established a preference for targeted populations of disabled persons pursuant to 24 CFR 578.33(d) or 24 CFR 582.330(a), it will comply with this section's nondiscrimination requirements within the designated population.

### B. For non-Rental Assistance Projects Only.

#### 20-Year Operation Rule.

Applicants receiving assistance for acquisition, rehabilitation or new construction: The project will be operated for no less than 20 years from the date of initial occupancy or the date of initial service provision for the purpose specified in the application.

#### 15-Year Operation Rule – 24 CFR part 578 only.

Applicants receiving assistance for acquisition, rehabilitation or new construction: The project will be operated for no less than 15 years from the date of initial occupancy or the date of initial service provision for the purpose specified in the application.

#### 1-Year Operation Rule.

For applicants receiving assistance for supportive services, leasing, or operating costs but not receiving assistance for acquisition, rehabilitation, or new construction: The project will be operated for the purpose specified in the application for any year for which such assistance is provided.

#### C. Explanation.

Where the applicant is unable to certify to any of the statements in this certification, such applicant shall provide an explanation.

#### Name of Authorized Certifying Official John Joines

Date: 10/11/2021

Title: Executive Director

### Applicant Organization: Economic Security Corporation of Southwest

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Area

#### PHA Number (For PHA Applicants Only):

- I certify that I have been duly authorized by the applicant to submit this Applicant Certification and to ensure compliance. I am aware that any false, ficticious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties . (U.S. Code, Title 218, Section 1001).
- Active SAM Status Requirement. X I certify that our organization has an active System for Award Management (SAM) registration as required by 2 CFR 200.300(b) at the time of project application submission to HUD and will ensure this SAM registration will be renewed annually to meet this requirement.

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## **8B Submission Summary**

Page	Last Updated	
1A. SF-424 Application Type	10/11/2021	
1B. SF-424 Legal Applicant	No Input Required	
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1C. SF-424 Application Details	No Input Required
1D. SF-424 Congressional District(s)	10/11/2021
1E. SF-424 Compliance	10/11/2021
1F. SF-424 Declaration	10/11/2021
1G. HUD-2880	10/11/2021
1H. HUD-50070	10/11/2021
1I. Cert. Lobbying	10/11/2021
1J. SF-LLL	10/11/2021
IK. SF-424B	10/11/2021
Submission Without Changes	10/11/2021
Recipient Performance	10/11/2021
Renewal Grant Consolidation or Renewal Grant Expansion	10/11/2021
2A. Subrecipients	No Input Required
3A. Project Detail	10/11/2021
3B. Description	10/11/2021
3C. Dedicated Plus	10/11/2021
4A. Services	10/11/2021
4B. Housing Type	10/11/2021
5A. Households	10/11/2021
5B. Subpopulations	10/11/2021
6A. Funding Request	10/11/2021
6C. Rental Assistance	10/11/2021
6D. Match	10/11/2021
6E. Summary Budget	No Input Required
7A. Attachment(s)	10/11/2021
7A. In-Kind Match MOU Attachment	No Input Required
7B. Certification	10/11/2021

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P.O. BOX 2526 | JOPLIN, MO 64803 | 417.347.7600

HUD Headquarters Robert C. Weaver Building Attn: Continuum of Care Programs 451 7th St., SW, Room 7270, Washington DC 20410

To Whom It May Concern:

The Ozark Center is a partner agency with the Economic Security Corporation of Southwest Area, a community action agency and The Homeless Coalition in their effort to eliminate homelessness in Southwest Missouri. The Ozark Center provides critical supportive services for three of ESC's Department of Housing and Urban Development's renewal projects: Permanent Housing for Persons with a Disability renewal, Chronic Homeless renewal and Shelter Plus Care renewal.

The Ozark Center has been working with Economic Security Corporation for over 14 years to provide case management, mental health and life skills services to homeless clients that need supports to ensure their viability for long term permanent housing. ESC is the permanent housing partner. Ozark Center will be providing in-kind match for all three permanent supportive housing projects mentioned.

The Permanent Housing for Persons with a Disability program renewal, also referred to as Rental Assistance Program or RAP has a program year running from May 1, 2020 to April 30, 2021. During this program year Ozark Center will provide an in-kind match with an estimated value of \$47,011. Services will include \$2,225 for alcohol and drug abuse treatment, \$25,653 in mental health services, \$18,603 in other health services and \$530 in transportation services. These are in-kind donations which includes wrap around case management services for supportive housing and other supportive services, while ESC will offer the permanent housing component.

The Shelter Plus Care program renewal, has a program year running from June 1, 2020 to May 31, 2021. During this program year Ozark Center will provide an in-kind match with an estimated value of \$102,528. Services will include \$1,463 in case management services, \$43,257 in mental health services, \$57,058 in other health services and \$750 in transportation services. These are in-kind donations which includes wrap around case management services for supportive housing and other supportive services, while ESC will offer the permanent housing component.

Our mission is to improve the health of the communities we serve through contemporary.

innovative, trauma informed, quality behavioral health care solutions.

The Chronic Homeless program renewal, has a program year running from April 1, 2020 to March 31, 2021. During this program year Ozark Center will provide an inkind match with an estimated value of \$63,010. Services will include \$8,754 for alcohol and drug abuse treatment, \$254 in case management services, \$26,185 in mental health services, \$27,256 in other health services and \$561 in transportation services. These are in-kind donations which includes wrap around case management services for supportive housing and other supportive services, while ESC will offer the permanent housing component.

Sincerely, OZARK CENTER

Quia de

8/19/2019

Lisa Francis, MS Director of Adult Community Services Housing Director



### P.O. BOX 207 | 302 SOUTH JOPLIN | JOPLIN, MO 64802 417.781.0352 | FAX 417.781.1234 | ESCSWA.ORG



August 17, 2019

HUD Headquarters Robert C. Weaver Building Attn: Continuum of Care Programs 451 7<sup>th</sup> St., SW, Room 7270 Washington DC 20410

To Whom It May Concern:

Economic Security Corporation of Southwest Area, A Community Action Partnership is providing cash resources in the amount of \$1,700 for year one of this HUD, Shelter Plus Care Grant – Permanent Housing - SPC. These funds will be used to pay staff salaries and fringe for the housing program component of this grant (Housing & Development Director), utilities for office space, telephones, office/program supplies, liability insurance, computer software, data entry, Xeroxing and postage. The funds will be available for use on June 1, 2020. These funds are being provided by the Jasper County Public Housing Agency's housing unrestricted reserve fund.

Sincerely, ECONOMIC SECURITY CORPORATION

John Joines, CCAP Chief Executive Officer

Helping people. Changing lives.